

Novartis Corporation
564 Morris Avenue
Summit, NJ 07901-1027

 **NOVARTIS**
PATENT AND TRADEMARK DEPARTMENT

FAX COVER SHEET

DATE: 7/9/01

TIME: _____ (AM) (PM)

TO: A. BERMANPHONE: (703) 308-4638

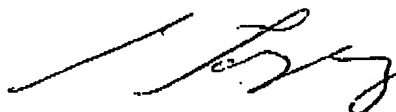
LOCATION: _____

FAX: (703) 746-4927

CC: _____

FROM: G. LOPEZPHONE: (908) 522-6933FAX: (908) 522-6955RE: 09/738, 212 CASE 118-8353BNUMBER OF PAGES (including cover sheet): 15

MESSAGE: *FOR YOUR REQUEST, ATTACHED IS
A COPY OF TWO IDS DATED 4/5/01. ALSO,
2 SUPPLEMENTAL DOCUMENTS DATED 4/10/01
AND 5/4/01.*

GABRIEL LOPEZ*7/9/01*

CONFIDENTIALITY NOTICE

THIS FAX CONTAINS CONFIDENTIAL INFORMATION WHICH MAY BE PRIVILEGED AND IS INTENDED SOLELY FOR THE USE OF THE ABOVE-NAMED RECIPIENT(S). IT MAY BE EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. IF YOU ARE NOT AN INTENDED RECIPIENT OR PERSON RESPONSIBLE FOR DELIVERY OF THIS FAX TO AN INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT YOU HAVE RECEIVED THIS FAX IN ERROR AND THAT ANY REVIEW, DISSEMINATION, DISCLOSURE, COPYING OR OTHER USE OF THIS FAX OR ITS CONTENTS IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS FAX IN ERROR, PLEASE NOTIFY THE SENDER IMMEDIATELY BY TELEPHONE AT ONE OF THE PHONE NUMBERS SET FORTH ABOVE AND DESTROY THIS FAX IMMEDIATELY.

CASE 4-118-8353B/C1

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Gabriel Lopez
Type or print name

Signature

April 5, 2001
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**IN RE APPLICATION OF****NEUER ET AL.****APPLICATION NO: 09/738,212****FILED: DECEMBER 15, 2000****FOR: PHARMACEUTICAL COMPOSITIONS OF MACROLIDES OR
CYCLOSPORINE WITH A POLYETHOXYLATED SATURATED
HYDROXY-FATTY ACID**

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants wish to make of record the references cited on the attached forms PTO-1449 (2 sheets) and PTO-892 (1 sheet), which are copied from the file wrapper of parent application 09/077,231. Copies of the references are not enclosed as they are available to the Examiner in the parent application.

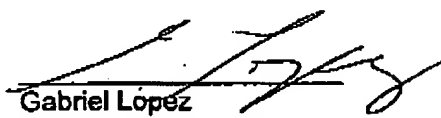
Applicants also wish to make of record the references cited on attached new forms PTO-1449 (7 sheets). Copies of these references are enclosed herewith.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 forms.

This paper is being filed before the mailing date of a first Office Action on the merits, and so under 37 C.F.R. §197(b)(3) no fees are required.

Respectfully submitted,

Novartis Corporation
Patent and Trademark Dept.
564 Morris Avenue
Summit, NJ 07901-1027


Gabriel López
Attorney for Applicants
Reg. No. 28,440
(908) 522-6933

Encl: PTO-1449 Form (2 sheets)
PTO-892 Form (1 sheet)
PTO-1449 Form (7 sheets) + references

Date: April 5, 2001

Notice of References CitedApplication No.
09/077,231Applicant(s)
Neuer et al.Examiner
Alysia BermanGroup Art Unit
1615

Page 1 of 1

U.S. PATENT DOCUMENTS

	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
A	5,047,396	09/10/91	Orban et al.	514	11
B	5,758,997	06/02/98	Cavanak	514	11
C	5,260,301	11/09/93	Nakanishi et al.	514	291
D	5,342,625	08/30/94	Hauer et al.	424	455
E					
F					
G					
H					
I					
J					
K					
L					
M					

FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	NAME	CLASS	SUBCLASS
N						
O						
P						
Q						
R						
S						
T						

NON-PATENT DOCUMENTS

	DOCUMENT (including Author, Title, Source, and Pertinent Pages)	DATE
U		
V		
W		
X		

CASE 4-118-8353B/C1

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Gabriel Lopez

Type or print name


Signature5/4/01

Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

NEUER ET AL.

Art Unit: 1619

APPLICATION NO: 09/738,212

Examiner: A. Berman

FILED: DECEMBER 15, 2000

FOR: PHARMACEUTICAL COMPOSITIONS OF MACROLIDES OR
CYCLOSPORINE WITH A POLYETHOXYLATED SATURATED
HYDROXY-FATTY ACIDAssistant Commissioner for Patents
Washington, D.C. 20231**SUPPLEMENT TO
INFORMATION DISCLOSURE STATEMENT**

Sir:

Enclosed are translations of the following non-English references, which were cited in the IDS dated April 5, 2001:

FR 2,553,661

BE 895,724

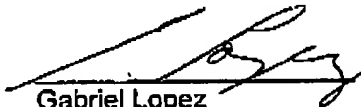
Beyer, et al., Pharmazie in unserer Zeit, Vol. 12(2):55-60 (1983)

Muller, et al., Pharm. Ind. 50(3), 370-375, (1988)

Muller, et al., Pharm. Ind. 50(11), 1301-1306, (1988)

Ziegenmeyer, et al., Acta Pharmaceutical Technologica, Vol. 26(4): 273-275, 1980.

Respectfully submitted,

Novartis Corporation
Patent and Trademark Dept.
564 Morris Avenue
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Gabriel Lopez
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Reg. No. 28,440
(908) 522-6933

encl: 6

Date: 5/4/01

CASE 4-118-8353B/C1

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Gabriel Lopez
Type or print name


Signature

April 10, 2001 -
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**IN RE APPLICATION OF****NEUER ET AL.****APPLICATION NO: 09/738,212****FILED: DECEMBER 15, 2000****FOR: PHARMACEUTICAL COMPOSITIONS OF MACROLIDES OR
CYCLOSPORINE WITH A POLYETHOXYLATED SATURATED
HYDROXY-FATTY ACID**

Assistant Commissioner for Patents
Washington, D.C. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants wish to bring the following co-pending applications to the attention of the

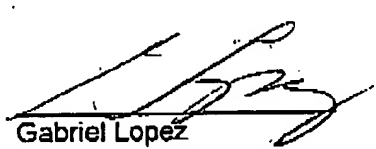
Examiner:

<u>Attorney Docket No.</u>	<u>Serial No.</u>	<u>Filing Date</u>
100-7317M	09/547,802	4/11/00
100-7752J	09/690,400	10/17/00
4-20017C	09/524,965	3/14/00
4-30373C	09/605,512	6/28/00

The Examiner is requested to consider the foregoing information in relation to this application.

Respectfully submitted,

Novartis Corporation
Patent and Trademark Dept.
564 Morris Avenue
Summit, NJ 07901-1027
Date: April 10, 2001
Enclosures: (4)


Gabriel Lopez
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